



## FAIS Exam Preparation Workshop RE 5 (Representatives)

The purpose of the FAIS Act is to protect consumers of financial services and to professionalise the overall financial services industry. To achieve this, the FAIS Act imposes certain requirements on providers of financial services. These requirements ensure that consumers receive proper financial advice, that they are provided with sufficient information to make informed investment decisions and that they are dealing with fit and proper advisors and intermediaries. The Act aims to protect the public against improper conduct by Financial Service Providers (FSPs) and makes provision for FSPs to be licensed and authorised by the Financial Services Board (FSB).

All Representatives, including those employed or mandated by an FSP, who render a financial service to a client (excluding a person rendering clerical, technical, administrative, legal, accounting or other service in a subsidiary or subordinate capacity which does not require judgment or does not lead to a specific transaction in respect of a financial product in response to general enquiries) are required to write this examination.

### Structure

This programme will be delivered over 3 days.

### Who should attend?

- FSP's include a range of people, from insurance brokers to wealth advisors
- Bankers
- Investment professionals
- People already in the industry or prospective FSPs

Upon completion of the programme, delegates should be able to:

- Understand and have a good working knowledge of FAIS.
- Register for the RE 5 examination.

