



## FAIS Exam Preparation Workshop RE 1 (Key Individuals)

The purpose of the FAIS Act is to protect consumers of financial services and to professionalise the overall financial services industry. To achieve this, the FAIS Act imposes certain requirements on providers of financial services. These requirements ensure that consumers receive proper financial advice, that they are provided with sufficient information to make informed investment decisions and that they are dealing with fit and proper advisors and intermediaries. The Act aims to protect the public against improper conduct by Financial Service Providers (FSPs) and makes provision for FSPs to be licensed and authorised by the Financial Services Board (FSB).

This is a general examination that applies to all key individuals and sole proprietors in all the Categories. All sole proprietors and key individuals who are responsible for managing and overseeing a business relating to the rendering financial services for FSPs in Category I, II, IIA, III and IV are required to write this examination.

### Structure

This programme will be delivered over 3 days.

### Who should attend?

- FSP's include a range of people, from insurance brokers to wealth advisors
- Sole proprietors within the broader financial services industry
- Bankers
- Investment professionals
- People already in the industry or prospective FSPs

Upon completion of the programme, delegates should be able to:

- Understand and have a good working knowledge of FAIS.
- Register for the RE 1 examination.

